SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Sintros Steven S				er Name and Ticker FIRST CORP	υ.	ymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) C/O UNIFIRST 68 JONSPIN RC		(Middle)	3. Date 11/17/	of Earliest Transac 2021	ction (Month/D	ay/Year)	X	Officer (give title below)		(specify		
(Street) WILMINGTON	МА	01887	4. If Am	nendment, Date of (Original Filed	(Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by One Form filed by Mor Person	e Reporting Pers	son		
(City)	(State)	(Zip)						1 013011				
		Table I - Non	-Derivative S	ecurities Acqu	uired, Disp	osed of, or Benefi	cially (Owned				
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.			5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial		

	(Month/Day/Year)	(Month/Day/Year)	8)	instr.	5)				(I) (Instr. 4)	Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock	11/17/2021		A		3,731 ⁽¹⁾	A	\$0.00	20,132 ⁽²⁾	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Num of Deriva Securi Acquir (A) or Dispos of (D) (Instr. and 5)	tive ties ed sed 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Appreciation Right ⁽³⁾	\$201.07	11/17/2021		A		6,407		(3)	11/17/2031	Common Stock (\$0.10 par value)	6,407	\$0.00	6,407	D	

Explanation of Responses:

1. Represents restricted stock units granted under the UniFirst Corporation Amended and Restated 2010 Stock Option and Incentive Plan (the "2010 Plan"). Such restricted stock units vest in five equal annual installments on October 31, 2022, October 31, 2023, October 31, 2024, October 31, 2025 and October 31, 2026.

2. Consists of (i) 7,315 shares of Common Stock owned by the reporting person, (ii) 2,566 restricted stock units that will vest in three remaining equal annual installments on November 27, 2021, November 27, 2022, and November 27, 2023, (iii) 1,210 restricted stock units that will vest in two remaining equal annual installments on December 14, 2021 and December 14, 2022, (iv) 2,088 restricted stock units that will vest in three remaining equal annual installments on October 29, 2023, October 29, 2023 and October 29, 2024, (v) 3,222 restricted stock units that will vest in five equal annual installments on November 19, 2021, November 19, 2022, November 19, 2022, November 19, 2022, November 19, 2023, and October 31, 2024, October 31, 2025, and October 31, 2024, October 31, 2025, October 31, 2026.

3. This stock appreciation right, which was granted under the 2010 Plan, becomes vested and exercisable in five equal annual installments on October 31, 2022, October 31, 2023, October 31, 2024, October 31, 2025 and October 31, 2026. This stock appreciation right is required to be settled in stock at the time of exercise.

Remarks:

/s/ Steven S. Sintros

** Signature of Reporting Person Date

1<u>1/1</u>9/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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