SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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05

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	Address of Reporting	Person <sup>*</sup>		uer Name <b>and</b> Tick		iymbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
CROATT	<u>I CYNTHIA</u>			IFIRST COR	<u>P</u> [UNF]		X	Director	х	10% Ov	vner		
	(First) RST CORP	(Middle)		te of Earliest Transa 9/2020	action (Month/I	Day/Year)	X	Officer (give title below) Executive V		Other (s below) <mark>ident</mark>	pecify		
68 JONSPIN ROAD				mendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable						
(Street) WILMING	TON MA	01887					Line)	Form filed by One Form filed by Mo		-			
(City)	(State)	(Zip)						Person			-		
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1 Title of Sec	surity (Instr. 3)		2. Transaction	2A. Deemed	3.	4. Securities Acquired (A)	or 5. Amount of 6. Ownership 7. Nat						

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	10/29/2020		М		4,000	A	\$106.99	9,473	D	
Common Stock	10/29/2020		D		3,003	D	\$165.44	6,470	D	
Common Stock	10/29/2020		S		997(1)	D	\$163.41	5,473 <sup>(2)</sup>	D	
Common Stock								68,534 <sup>(3)</sup>	Ι	By LLC

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

					-			-							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) o Disp of (I	oosed D) tr. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Appreciation Right	\$106.99	10/29/2020		М			4,000	10/27/2019	10/27/2024	Common Stock (\$0.10 par value)	4,000	\$0.00	0	D	

Explanation of Responses:

1. Represents shares sold pursuant to a Rule 10b5-1 sales plan.

2. Consists of 1,332 restricted stock units that vest 100% on December 20, 2022, 1,551 restricted stock units that vest 100% on October 22, 2023, 1,174 restricted stock units that vest 60% on October 29, 2022, an additional 20% on October 29, 2023 and the remaining 20% on October 29, 2024 and 1,416 restricted stock units that vest 60% on October 26, 2023, an additional 20% on October 26, 2024 and the remaining 20% on October 29, 2024 and 1,416 restricted stock units that vest 60% on October 26, 2023, an additional 20% on October 26, 2024 and the remaining 20% on October 26, 2025.

3. Cynthia Croatti is the manager of Trilogy Investment Partners LLC, which as of the date of filing this report, directly owns the reported securities. Cynthia Croatti disclaims beneficial ownership of these reported securities, except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that she is the beneficial owner of the securities for purposes of Section 16 or any other purpose.

**Remarks:** 

/s/ Steven S. Sintros, Attorney-

in-Fact

11/02/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.